

1/21/04

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INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
MAS-10002/22

In Re Application Of: Anthony A. McCullough et al.

Serial No.
10/696,700Filing Date
October 29, 2003

Examiner

Group Art Unit

Title: WATER-BASED METAL WORKING FLUID

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

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WATER-BASED METAL WORKING FLUID

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **07-1180**
as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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Certificate of Mailing by First Class Mail

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Signature of Person Mailing Correspondence

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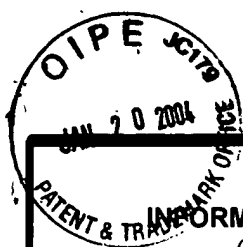
**This certificate may only be used if paying by deposit account.*

Signature

Dated: **January 20, 2004**

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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	ATTY DOCKET NO. MAS-10002/22	SERIAL NO. 10/696,700
	Anthony A. McCullough et al.	
	FILING October 29, 2003	GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2,999,064	09/05/1961	Sluhan	252	34.7	
	3,618,707	11/09/1971	Sluhan	184	1 E	
	3,719,598	03/06/1973	King	252	33.4	
	3,750,847	08/07/1973	Sluhan	184	1 E	
	3,948,784	04/06/1976	Krillic et al.	252	26	
	4,139,464	02/13/1979	Coward	210	74	
	4,146,488	03/27/1979	Martin	252	34.7	
	4,212,750	07/15/1980	Gorman	252	32.5	
	4,452,712	06/05/1984	Laemmle	252	49.3	
	4,492,636	01/08/1985	Burke	210	706	
	4,636,321	01/13/1987	Kipp et al.	252	49.3	

FOREIGN PATENT DOCUMENTS

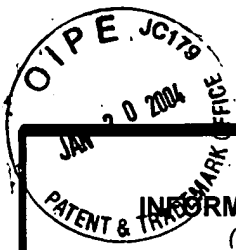
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Brochure: "Closed Loop Aqueous Cleaning at Delta Faucet Company" (undated)

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,652,372	03/24/1987	Threadgill	210	242.3	
	4,708,539	11/24/1987	Threadgill	408	61	
	4,828,736	05/09/1989	Sandberg et al.	252	49.3	
	5,795,400	08/18/1998	Berger et al.	134	10	
	5,801,128	09/01/1998	Overstreet et al.	508	159	
	5,983,910	11/16/1999	Berger et al.	134	104.4	
	6,343,610	02/05/2002	Berger et al.	134	63	
	6,435,198	08/20/2002	Berger et al.	134	63	
	6,460,549	10/08/2002	Berger et al.	134	60	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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